

Midterm Exam 1

- Thursday Feb. 10 in class
- Covered material: 1st class → the class on Tuesday Feb. 8th
- Closed-book and closed-notes
- Do not forget to prepare your cheat sheet (a single-side letter-size paper)

Review for Midterm Exam 1 – Chapter 1

What is the algorithm?

- a sequence of unambiguous instructions for solving a problem

Algorithm design process

Typical problems discussed in this class: sorting, searching, string processing, graph problems, combinatorial problems, geometric problems, and numerical problems

The same problem can be solved by different algorithms with different efficiency

Typical data structures – array, linked list, stack, queue, **graph**, **(adjacency matrix/linked-list)**, **tree**, binary tree and set

Pseudocode

Review for Midterm Exam 1 – Chapter 1

Graph

- Loop v.s. cycle
- Complete graph
- Edges and vertices $0 \leq |E| \leq |V|(|V| - 1) / 2$
- Adjacency list and adjacency matrix for directed/undirected graph

Tree

- Connected and acyclic graph
- $|E| = |V| - 1$
- Height of the tree $\lfloor \log_2 n \rfloor \leq h \leq n - 1$

Review for Midterm Exam 1 – Chapter 2

Time efficiency (complexity) of an algorithm

What is the input size and basic operation?

Measured by a function of the input size -- best case, worst case, average case

The order of the growth and how to prove it

- 'Limit' technique
- Definition

Three important symbols – $O(n)$, $\Theta(n)$ and $\Omega(n)$

Typical efficiency (complexity) class – constant, $\log n$, linear, $n \log n$, square, cubic, exponential, factorial,

Polynomial and non-polynomial Complexity

1	constant
$\log n$	logarithmic
n	linear
$n \log n$	$n \log n$
n^2	quadratic
n^3	cubic
2^n	exponential
$n!$	factorial

Review for Midterm Exam 1 – Chapter 2

Analyze the efficiency of nonrecursive algorithms

- Find all the loops
- The operation in the innermost loop is the basic operation
- Write the complexity in the form of summations
- Simplify the expression using formulas in Appendix A

Analyze the efficiency of recursive algorithms

- Find the recurrence relations and initial conditions
- Find the closed-form solution (Appendix B)
 - Forward substitution
 - Backward substitution
 - Linear 2nd order with constant coefficients (homogenous and inhomogenous cases)
 - Properties of smooth functions
- Typical kinds of recurrence relations
- Master Theorem

Important Recurrence Types

One (constant) operation reduces problem size by one.

$$T(n) = T(n-1) + c \qquad T(1) = d$$

$$\text{Solution: } T(n) = (n-1)c + d \qquad \textit{linear, e.g., factorial}$$

A pass through input reduces problem size by one.

$$T(n) = T(n-1) + cn \qquad T(1) = d$$

$$\text{Solution: } T(n) = [n(n+1)/2 - 1]c + d \qquad \textit{quadratic, e.g., insertion sort}$$

One (constant) operation reduces problem size by half.

$$T(n) = T(n/2) + c \qquad T(1) = d$$

$$\text{Solution: } T(n) = c \log_2 n + d \qquad \textit{logarithmic, e.g., binary search}$$

A pass through input reduces problem size by half.

$$T(n) = 2T(n/2) + cn \qquad T(1) = d$$

$$\text{Solution: } T(n) = cn \log_2 n + d n \qquad \textit{n log}_2 n, \textit{ e.g., mergesort}$$

Useful Formulas in Appendix A

Make sure to be familiar with them

$$\sum_{i=startInd}^{endInd} \textcircled{1} = \underbrace{1 + 1 + \dots + 1}_{endInd - startInd + 1 \text{ times}} = endInd - startInd + 1$$

$$\sum_{i=1}^n \textcircled{i} = 1 + 2 + \dots + n = \frac{n(n+1)}{2} \in \Theta(n^2) \quad \sum_{i=1}^n i^k \in \Theta(n^{k+1})$$

$$\sum_{i=1}^n c a_i = c \sum_{i=1}^n a_i$$
$$\sum_{i=1}^n (a_i + b_i) = \sum_{i=1}^n a_i + \sum_{i=1}^n b_i$$

Prove by Induction

Note: this is not a full list in Appendix A! Copy the full list on your cheat sheet!

Three Recurrence Types We know How to Find the Closed-Form Solution

$$T(n) = a \cdot T(n - 1) + n^k$$

$$T(n) = a \cdot T(n/b) + n^k \quad (a \geq 1, b \geq 2) \Rightarrow \text{Master Theorem}$$

$$T(n) = a \cdot T(n - 1) + b \cdot T(n - 2) \Rightarrow \text{Linear Second Order}$$

Please related them to the following algorithms we learned in the last class

- Recursive algorithm for computing $n!$
- Recursive algorithm for Tower of Hanoi
- Recursive algorithm for finding the number of digits in the binary representation of a decimal integer
- Recursive algorithm for finding the Fibonacci numbers

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Forward substitutions (**not recommended for complex patterns**)

Backward substitutions (**a general approach to solve recurrence, but not recommended for linear second order recurrence**)

Linear 2nd order recurrences with constant coefficients

The solution to important recurrence type (**pay attention to the initial condition**)

Master Theorem (**recommended for solving general divide-and-conquer recurrence**)