

Recall:

A decision problem is a collection of finite inputs (instances) where each instance is either a yes-instance or no-instance (binary output: "yes" or "no")

IT decision problem.

Say that an algo A solves Π

if A correctly outputs "yes" or "no" given any instance $x \in \Pi$.

CLIQUE

Instance: A graph G and an integer K

Question: Does G have a clique of size $\geq K$?

INDEPENDENT SET (IND SET)

Instance: A graph G and an integer K

Question: Does G have an independent set of vertices of size $\geq K$?

($C \subseteq G.V$ is independent if there are no edges in $G.E$ connecting vertices in C .)

VERTEX COVER (VC)

Instance: A graph G and an integer K

Question: Does G have a vertex cover of size $\leq K$?

($C \subseteq G.V$ is a vertex cover iff every edge in $G.E$ has at least one endpoint in C .)

SAT (SATISFIABILITY)

Instance: A Boolean formula ϕ over boolean variables $x_1, \dots, x_n$

Question: Is there a truth setting of the variables $x_1, \dots, x_n$ that makes ϕ true? (Is ϕ satisfiable?)

$x_1 \vee (x_2 \wedge \bar{x}_1)$ yes

$x_1 \wedge (x_2 \vee \bar{x}_1)$ yes

CNF-SAT

Instance: A Bool formula ϕ in conjunctive normal form (cnf).

Question: Is ϕ satisfiable?

cnf: $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$

each clause $C = l_1 \vee l_2 \vee \dots \vee l_m$

each literal is either a variable or the negation of a variable.

$\phi = (x_1 \vee x_2) \wedge (\bar{x}_1 \vee x_3) \wedge (x_2 \vee \bar{x}_1)$

[restriction of SAT: each instance is also an instance of SAT, question reduction]

3SAT

Instance: Boolean formula ϕ in cnf with exactly 3 literals in each clause.

Question: Is ϕ satisfiable?

(restriction of CNF-SAT)

Recall:

An algo A runs in polynomial time (ptime) if there is a constant k such that A runs in time $O(n^k)$, where n is the size of the input. ("fast", "efficient")

Π_1, Π_2 decision problems,

A polynomial reduction from Π_1 to Π_2 is a ptime computable function f such that, for every instance x of Π_1 , $f(x)$ is an instance of Π_2 with the same answer

{ x is no-instance $\Rightarrow f(x)$ no-inst
"yes" $\Rightarrow$ yes-inst }

If such an f exists, then

Π_1 is polynomially reducible to Π_2

($\Pi_1 \leq \Pi_2$)

Spec $\Pi_1 \leq \Pi_2$ and you have a ptime algo to solve Π_2 , then a ptime algo to solve Π_1 . Conversely, if Π_1 is hard (no ptime algo for Π_1) then Π_2 is also hard.

Polynomially reduce CNF-SAT to 3SAT.

Input: Bool formula Φ in cnf

Goal: Transform Φ into some Φ' (instance of 3SAT) such that

Φ is satisfiable $\iff \Phi'$ is satisfiable
[transformation must be ptm]

Arbitrary cnf formula Φ .

$\Phi = C_1 \wedge \dots \wedge C_k$ for some k

each $C_i = l_{i1} \vee l_{i2} \vee \dots \vee l_{in_i}$ literals

Construct Φ' by replacing each clause of Φ . Let C be a clause of Φ .

$C = l_1 \vee \dots \vee l_n$

Case 1: $n=3$. C is a clause of Φ' unchanged

Case 2: $n=2$. $C = l_1 \vee l_2$

Introduce a fresh variable x
assign value
else

replace C with 2 clauses:
 $(l_1 \vee l_2 \vee x) \wedge (l_1 \vee l_2 \vee \neg x)$

Case 3: $n=1$. $C = l$

Introduce two fresh vars x, y

Replace C with

$(l \vee x \vee y) \wedge (l \vee x \vee \neg y) \wedge (l \vee \neg x \vee y) \wedge (l \vee \neg x \vee \neg y)$

Case 4: $n \geq 4$.

$C = l_1 \vee l_2 \vee \dots \vee l_m$ ($m \geq 4$)

Replace C with these clauses:

$(l_1 \vee x_1) \wedge (\neg x_1 \vee l_2 \vee x_2) \wedge (\neg x_2 \vee l_3 \vee x_3) \wedge \dots \wedge (\neg x_{m-1} \vee l_m)$

each clause looks like

$(\neg x_{i-1} \vee l_i \vee x_i)$ $1 \leq i < m$

$x_1, \dots, x_{m-1}$ are all fresh vars.

Spec $l_i \vee$ true. then set

$x_1, \dots, x_{i-1}$ true } all replacement
 $x_i, \dots, x_{m-1}$ false } clauses
satisfied

Converse: If all replacement clauses are satisfiable, then so must C be.

equiv. If C not satisfied, then

no way to satisfy all replacement clauses.

Suppose then that all $l_1, \dots, l_m$ are all false.

$(l_1 \vee x_1) \leftarrow$ must set x_1 true to satisfy

$(\neg x_1 \vee l_2 \vee x_2) \leftarrow$ must set x_2 true to satisfy

$\vdots$
 $(\neg x_{i-1} \vee l_i \vee x_i) \leftarrow$ must set x_i true to satisfy

$\vdots$
 $(\neg x_{m-1} \vee l_m) \leftarrow$ can't satisfy!

Reduction replaces each clause of Φ as above to get Φ'

Φ is sat $\iff \Phi'$ is satisfiable

this reduction is "dramatic" ptm
(in fact linear-time).

On Thursday: 3SAT $\leq$ VC

Def: A decision problem is easy if there is some ptm (fast) algo that solves it.

P is the class of all easy decision problems
(P is a "complexity class")

NP is the class of all decision problems whose yes-instances are easily verifiable, given extra information ("proof" or "witness")

$SAT \in NP$