

More on substitution method

$$T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n$$

Guess that  $T(n) = O(n \lg n)$ .

Prove by induction that

$$T(n) \leq c n \lg n$$

(some constant  $c > 0$ )

$$T(n) = 2T\left(\underbrace{\left\lfloor \frac{n}{2} \right\rfloor}_{\leq n}\right) + n$$

$$\leq 2c \left\lfloor \frac{n}{2} \right\rfloor \lg \left\lfloor \frac{n}{2} \right\rfloor + n$$

$$\leq 2c \frac{n}{2} \lg \frac{n}{2} + n$$

$$= c n \lg \frac{n}{2} + n$$

$$= c n (\lg n - 1) + n$$

$$= c n \lg n + n - c n$$

$$\leq c n \lg n$$

provided  $c \geq 1$  ✓

Lower bound:

$$T(n) = \Omega(n \lg n)$$

$$(\therefore T(n) = \Theta(n \lg n))$$

$$[T(n) \geq c n \lg n]$$

prove by induction

$$T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n$$

$$\geq 2c \left\lfloor \frac{n}{2} \right\rfloor \lg \left\lfloor \frac{n}{2} \right\rfloor + n$$

$$Lx \geq x-1 \downarrow$$

$$\geq 2c \left(\frac{n}{2} - 1\right) \lg \left(\frac{n}{2} - 1\right) + n$$

$$\geq c \left(\frac{n}{2}\right) \lg \left(\frac{n}{2}\right) + n$$

$$= \frac{c n (\lg n - 2)}{2} + n$$

$$\downarrow \times$$

$$\geq c n \lg n$$

$$2c \left(\frac{n}{2} - 1\right) \lg \left(\frac{n}{2} - 1\right) + n$$

$$\geq 2c \left(\frac{n}{2} - 1\right) \lg \left(\frac{n}{4}\right) + n$$

$$= 2c \left(\frac{n}{2} - 1\right) (\lg n - 2) + n$$

$$= \frac{c n \lg n - 2c \lg n}{-2c n + 4c} + n$$

$$\geq c n \lg n$$

provided

$$-2c \lg n - 2c n + 4c + n \geq 0$$

$$\equiv n(1-2c) - 2c \lg n + 4c$$

$$n(1-2c) - 2c \lg n$$

$$\geq n(1-2c) - 2c \frac{n}{10}$$

(provided  $n$  is large enough)

$$= n \left(1 - 2c - \frac{c}{5}\right)$$

$$\geq 0 \text{ provided}$$

$$1 - 2c - \frac{c}{5} \geq 0$$

$$\text{i.e., } 1 \geq c \left(2 + \frac{1}{5}\right)$$

$$\frac{5}{11} \geq c$$

Th: If  $T(n) = 2T(\frac{n}{2}) + n$   
 $\uparrow$   
 floor or ceiling

then  $T(n) = \Theta(n \lg n)$

$\lceil x \rceil < x + 1$

A subtlety

$T(n) = T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + 1$

guess that  $T(n) = O(n)$

Try proving (upper bound)

$T(n) \leq cn$  (constant  $c$ )

$T(n) = T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + 1$

$\leq c \lfloor \frac{n}{2} \rfloor + c \lceil \frac{n}{2} \rceil + 1$

$= c(\lfloor \frac{n}{2} \rfloor + \lceil \frac{n}{2} \rceil) + 1$

$= cn + 1$

$\nless cn$  whoops.

Instead, try proving by induction that

$T(n) \leq cn - d$  ( $= O(n)$ )  
 (constants  $c > 0, d \geq 0$ )

$T(n) = T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + 1$

$\leq c \lfloor \frac{n}{2} \rfloor + c \lceil \frac{n}{2} \rceil - d + 1$

$= cn - 2d + 1$

$\leq cn - d$

provided  $-d + 1 \leq 0$

i.e.  $d \geq 1$

$T(n) = 2T(\lfloor \frac{n}{2} \rfloor + 1) + n$

prove that  $T(n) = \Theta(n \lg n)$

Hint: upper bound:

wh.  $(\lfloor \frac{n}{2} \rfloor + 1)$  by

$\frac{n}{2} + 1$  (to remove the floor)

$\uparrow$   
 outside the logarithm

inside the log, upper bound

$\lfloor \frac{n}{2} \rfloor + 1$  by  $\frac{n}{2}$   
 (true if  $n$  is large enough)

Alternate approach:

Prove that

$T(n) \leq cn \lg(n-34)$

Change of variables

$T(n) = 2T(\frac{n}{2}) + \lg n$

Define

$S(m) := T(2^m)$

$n \leftrightarrow 2^m$

$S(m) = T(2^m)$

$= 2T(\sqrt{2^m}) + \lg 2^m$

$= 2T((2^m)^{1/2}) + \lg 2^m$

$= 2T(2^{m/2}) + m$

$= 2S(\frac{m}{2}) + m$

$$S(m) = 2S\left(\frac{m}{2}\right) + m$$

so  $S(m) = \Theta(m \lg m)$

$$n = 2^m$$

$$\lg n = m$$

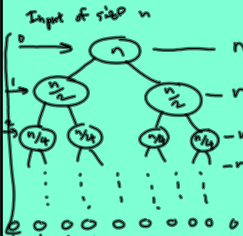
so

$$T(n) = S(\lg n)$$

$$= \Theta(\lg n \lg \lg n)$$

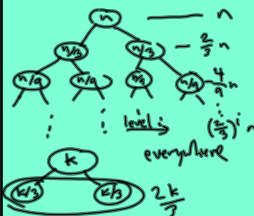
Tree method:

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$



$T(n)$  = sum of nodes in the tree.  
There are  $\lg n + 1 = \Theta(\lg n)$  levels, each level is  $n$ ,  
so total time is  $\Theta(n \lg n)$

$$T(n) = 2T\left(\frac{n}{3}\right) + n$$



Total time (upper bound)

$$T(n) = \sum_{i=0}^{\lg_{3/2} n} n \left(\frac{2}{3}\right)^i$$

$$= n \sum_{i=0}^{\lg_{3/2} n} \left(\frac{2}{3}\right)^i$$

$$\leq n \sum_{i=0}^{\infty} \left(\frac{2}{3}\right)^i$$

$$= n \left( \frac{1}{1 - \frac{2}{3}} \right) = 3n$$

$$\therefore T(n) = O(n)$$

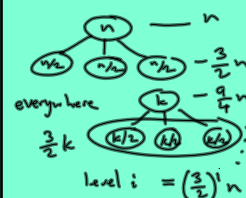
$$T(n) = 2T\left(\frac{n}{3}\right) + n$$

$$T(n) = \Omega(n)$$

obviously

$$T(n) = \Theta(n)$$

$$T(n) = 3T\left(\frac{n}{2}\right) + n$$



$$T(n) = \sum_{i=0}^{\lg_{2/3} n} \left(\frac{3}{2}\right)^i n$$

$$= n \sum_{i=0}^{\lg_{2/3} n} \left(\frac{3}{2}\right)^i$$

$$= n \left( \frac{\left(\frac{3}{2}\right)^{\lg_{2/3} n + 1} - 1}{\frac{3}{2} - 1} \right)$$

$$= \Theta\left(n \left(\frac{3}{2}\right)^{\lg_{2/3} n + 1}\right)$$

$$= \Theta\left(n \left(\frac{3}{2}\right)^d\right)$$

$$T(n) = \Theta\left(n \left(\frac{3}{2}\right)^d\right)$$

$$d = \lg n :$$

$$T(n) = \Theta\left(n \left(\frac{3}{2}\right)^{\lg n}\right)$$

$$\left[ \text{Recall: } a^{\log_b c} = c^{\log_b a} \right]$$

$$\text{Proof: } \log_b a = p$$

$$a = b^p$$

$$\text{l.h.s. } b^{p \log_b c} = \left( \underbrace{b^{\log_b c}}_c \right)^p$$

$$= c^p = c^{\log_b a}$$

$$T(n) = \Theta\left(n \left(\frac{3}{2}\right)^{\lg n}\right)$$

$$= \Theta\left(n \cdot n^{\lg\left(\frac{3}{2}\right)}\right)$$

$$\lg \frac{3}{2} = \lg 3 - 1$$

$$\text{So } T(n) = \Theta\left(n^{\lg 3}\right)$$

$$1 = \lg 2 < \lg 3 < \lg 4 = 2$$

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$

$$T(n) = T\left(\frac{2n}{3}\right) + T\left(\frac{3n}{4}\right) + n^2$$