

Reductions from 3-SAT

Def:  $ALL_{DFA} = \{ \langle D \rangle : L(D) = \Sigma^* \}$   
 $[ \Sigma \text{ is D's input alphabet} ]$

$ALL_{DFA} \in P$  — BFS from start state, looking for a rejecting state.  
 $L(D) = \Sigma^*$  iff none found.

Def:  $ALL_{NFA} = \{ \langle N \rangle : N \text{ is an NFA and } L(N) = \Sigma^* \}$

Prop:  $ALL_{NFA}$  is NP-hard.

Proof: Reduce 3-SAT to  $ALL_{NFA}$  (3-SAT  $\leq_p$   $ALL_{NFA}$ ) as follows:  
 Given a 3-clf formula  $\Phi$   
 $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_n$  (some  $k$ )  
 with variables  $x_1, \dots, x_n$  (some)  
 Build in time an NFA  $N_\Phi$ :

$N_\Phi$  accepts all binary strings except (perhaps) binary strings encoding set assignments to  $\Phi$

Ex:  $\Phi = (x_1 \vee \bar{x}_2 \vee x_n) \wedge \dots$

For each  $C_i = l_1 \vee l_2 \vee l_3$ , label edge on path  $C_i$  correspond to  $l_j$  "oppositely" from  $l_j$ , same for  $l_2$  &  $l_3$ , where "oppositely" means  $\begin{cases} 0 & \text{if } l_j \text{ is pos var} \\ 1 & \text{if } l_j \text{ is a neg var} \end{cases}$

All other edges labeled 0.

Consider any binary string of length  $n$

- If  $a$  encodes a set assign to  $\Phi$ , then no accepting path (argued before)
- If  $a$  encodes non-set assign, then there exists a  $C_i$  where all literals are made false by  $a$ . The corresp path can be taken to the accept state.

$\therefore a$  satisfies  $\Phi$  iff  $N_\Phi$  rejects  $a$ .

To make  $N_\Phi$  accept all strings of length  $\neq n$ , add one more path from the start state:

End of construction.  
 Clearly putting  $a$   
 $\Phi$  is sat  $\Leftrightarrow N_\Phi$  does not accept all strings  
 $\Leftrightarrow \langle N_\Phi \rangle \in ALL_{NFA}$   
 $\therefore 3\text{-SAT} \leq_p ALL_{NFA}$

Cor: No time decision algo for  $ALL_{NFA}$  unless  $P=NP$ .

Cor: NFA minimization is NP-hard:  
 An NFA accepts all strings iff it minimizes to

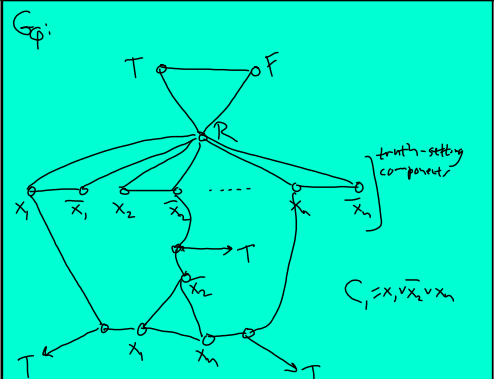
If you can minimize NFAs in P-time, then you can decide  $ALL_{NFA}$  in P-time, thus  $P=NP$ .

Def: Let  $k \geq 1$  be fixed.  
 A graph  $G$  is k-colorable if there is a map  $c: G.V \rightarrow \{1, \dots, k\}$  such that no two adjacent vertices  $u, v$  have  $c(u) = c(v)$ .

The k-colorability problem:  
 $k\text{-COL}$ :  
Instance: A graph  $G$   
Q: Is  $G$  k-colorable?

$k\text{-COL} \in P$  for  $k=1$  &  $k=2$ .

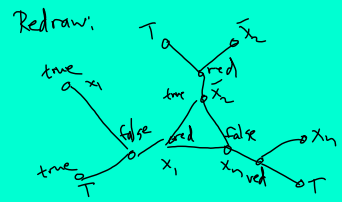
Prop: 3-COL is NP-complete,  
Pr: 3-COL  $\in$  NP (clear).  
 For NP-hardness, reduce from 3-SAT given a 3-clf  $\Phi = C_1 \wedge \dots \wedge C_n$  with variables  $x_1, \dots, x_n$  we build in time a graph  $G_\Phi$  as follows



Have a similar "gadget" for each clause.  
 End of construction. WTS:

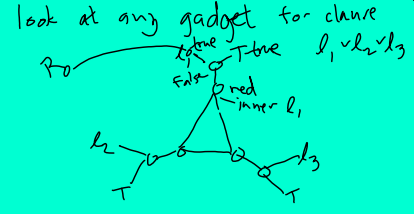
$\Phi$  sat  $\iff G_\Phi$  is 3-colorable.

( $\implies$ ) Let  $a$  be a sat assmt to  $\Phi$ . If  $a$  makes  $x_i$  true, then make  $\begin{matrix} \text{true} & \text{false} \\ \circ & \text{---} \circ \\ x_i & \bar{x}_i \end{matrix}$  each  $i=1, \dots, n$   
 else  $\begin{matrix} \text{false} & \text{true} \\ \circ & \text{---} \circ \\ x_i & \bar{x}_i \end{matrix}$



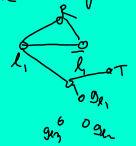
If, say  $a$  makes  $x_i$  true then can extend coloring to the  $C_i$  gadget (similarly for the other 2 literals)  
 Similarly for all clauses. ( $\implies$ )  $\checkmark$

( $\impliedby$ ) Suppose  $G_\Phi$  has a 3-coloring.



If inner  $l_1$  is red, then  $l_1$  in truth setting components must be colored true, corresp. to  $l_1$  set to true.  
 Similarly for  $l_2$  &  $l_3$ .

Holds for every clause gadget, so every clause has a true literal under the corresponding truth assmt.



$\therefore 3\text{-SAT} \leq_p 3\text{-COL}$   
 $\Phi \mapsto G_\Phi$

Next:  $k\text{-COL}$  is NP-complete for  $k \geq 3$ .