

CSCE 750  
12/5/2023

# Some polynomial reductions. (1)

Theorem (Cook-Levin): CNF-SAT is NP-complete.

Proof: (Take CSCE 551)

Prop: CNF-SAT  $\leq_p$  IS (Indep. Set)

Cor: IS is NP-complete.

Proof of the Prop: Given <sup>arbitrary</sup> CNF formula

$$\Phi = C_1 \wedge \dots \wedge C_k$$

as input, we construct (in p-time) a graph  $G$  and number  $k'$  such that  $\Phi$  is satisfiable iff  $G$  has an i.s. of size  $\geq k'$ :

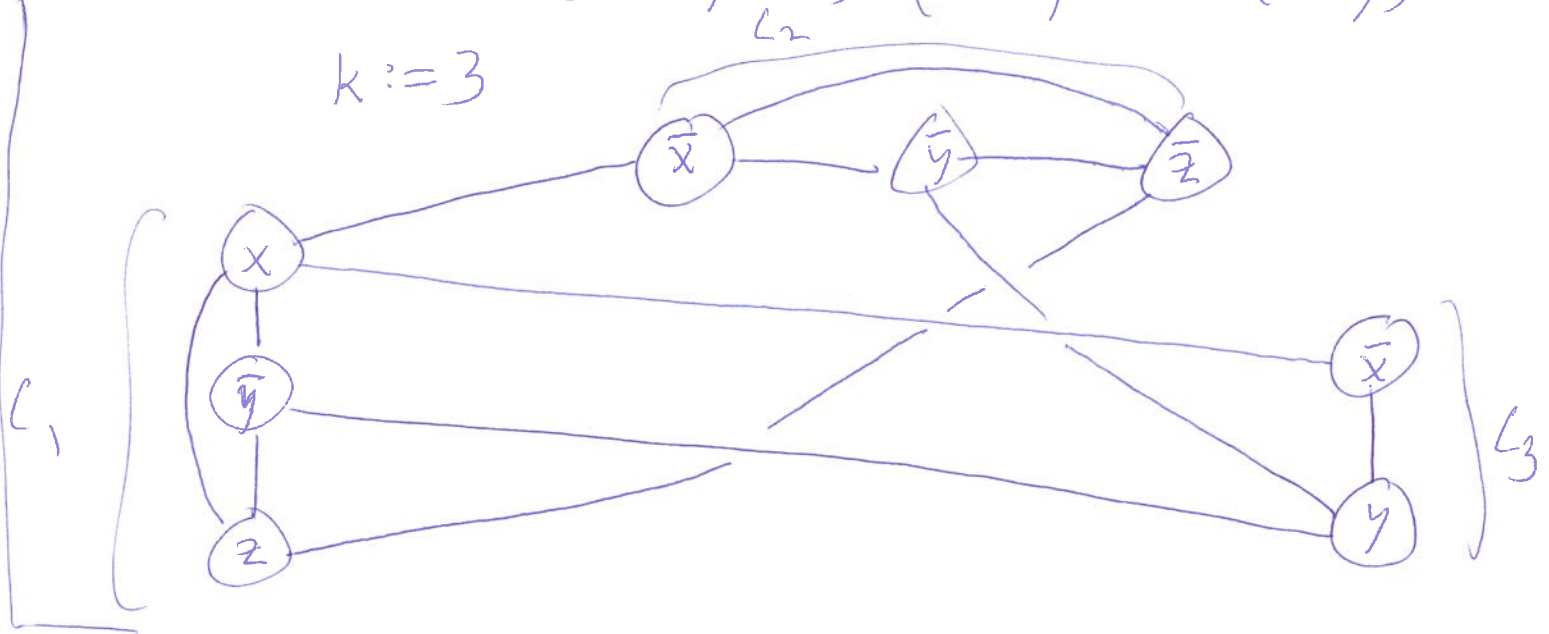
- $k' := k$  (the number of clause of  $\Phi$ ).
- $G$  has a vertex for each occurrence of a literal in  $\Phi$
- ~~For~~ Edges: vertices  $l_1$  and  $l_2$  are adjacent if  $l_1$  &  $l_2$  occur in the same clause, OR  $l_1 = x$  and  $l_2 = \bar{x}$  for some variable  $x$  ( $l_1$  &  $l_2$  are contradictory)

End of construction: Output  $\langle G, k \rangle$

Example: If

$$\Phi = \underbrace{(x \vee \bar{y} \vee z)}_{C_1} \wedge \underbrace{(\bar{x} \vee \bar{y} \vee \bar{z})}_{C_2} \wedge \underbrace{(\bar{x} \vee y)}_{C_3}$$

$k := 3$



This reduction is clearly polynomial time.

Correctness: Note first that any indep set of  $G$  has size  $\leq k$ , and if the set has size  $k$ , it has exactly one vertex in each clause.

no two vertices of the i.s. are in the same clause.

First:  $\Phi$  satisfiable  $\Rightarrow \exists$  i.s. of size  $k$ :

Assume  $\Phi$  has a satisfying assignment:  $\bar{a}$

Pick one ~~true~~ literal made true by  $\bar{a}$  in each clause to form a set  $I \subseteq G.V$  of size  $k$ .

Claim:  $I$  is an indep. set.

- 1) no two vertices in the same clause b/c
- 2) no contradictory literals in  $I$  (all are made true by  $\bar{a}$ ).

$\therefore I$  is an indep set of size  $k$ . //

Conversely:  $\exists$  <sup>WTS</sup> ind. set of size  $k \Rightarrow \Phi$  is satisfiable: (3)

Let  $J$  be an indep. set of size  $k$  in  $G$ .

$J$  has exactly one literal in each clause.

Choose a truth assignment that makes each literal in  $J$  true:

For every var  $x$  occurring in  $\Phi$ :

- mutually exclusive  
b/c no contradictory  
literals in  $J$
- 1) If  $J$  contains literal  $x$ , then set  $x := 1$ .
  - 2) If  $J$  contains literal  $\bar{x}$ , then set  $x := 0$ .
  - 3) If  $J$  has neither  $x$  nor  $\bar{x}$  then set  $x := 1$  (arbitrary)

No contradiction between (1) & (2), so this set each literal in  $J$  to 1.

$\therefore$  Every clause contains a true literal, since  $J$  has a  $vx$  in every clause

$\therefore \Phi$  is satisfied by this assignment. // 

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CLIQUE: ~~Q~~

Instance: A graph  $G$  and a number  $k$ .

Question: Does  $G$  have a clique of size  $k$ ?

Prop:  $IS \leq_p CLIQUE$

(4)

[Cor:  $CLIQUE$  is NP-hard, but  $CLIQUE$  is clearly in NP, so  $CLIQUE$  is NP-complete]

Proof of the prop:

Given a graph  $G$  and ~~an~~ number  $k$ , output


$\langle G', k \rangle$  where  $G'.V = G.V$

and any two ~~distinct~~ distinct vertices in  $G'$  are adjacent iff they are not adjacent in  $G$ .  
[ $G'$  is often called the complement of  $G$ , written  $G^c$ ]

Since a clique in  $G'$  is an indep. set in  $G$  and vice versa,

$G$  has an indep set of size  $k \iff G'$  has a clique of size  $k$ .

This transformation is clearly p-time.  $\therefore IS \leq_p CLIQUE$

Prop:  $IS \leq_p VC$  (Cor:  $VC$  is NP-complete.) 

Proof: Given  $G$  &  $k$ , note that  $C \subseteq G.V$  is a vertex cover iff  $G.V - C$  is an indep set.

Thus  $G$  has an indep set of size  $\geq k$  iff

$G$  has an ~~is~~ vertex cover of size  $\leq |G.V| - k$ .  
Just output  $\langle G, |G.V| - k \rangle$ . //

Prop: HC is NP-complete.

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Proof: Reduction from VC omitted.

## HAMILTONIAN PATH (HP):

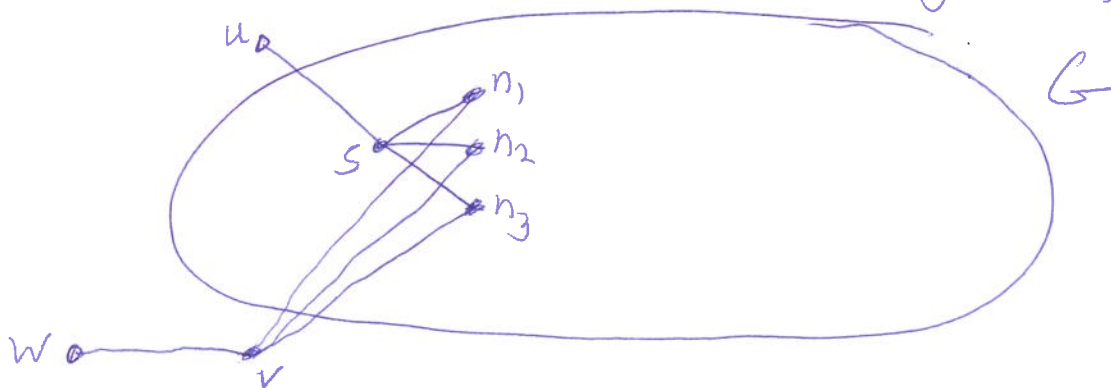
Instance: A graph  $G$  with  $\geq 2$  vertices

Question: Is there a path in  $G$  that touches each vertex exactly once? └ including endpoints

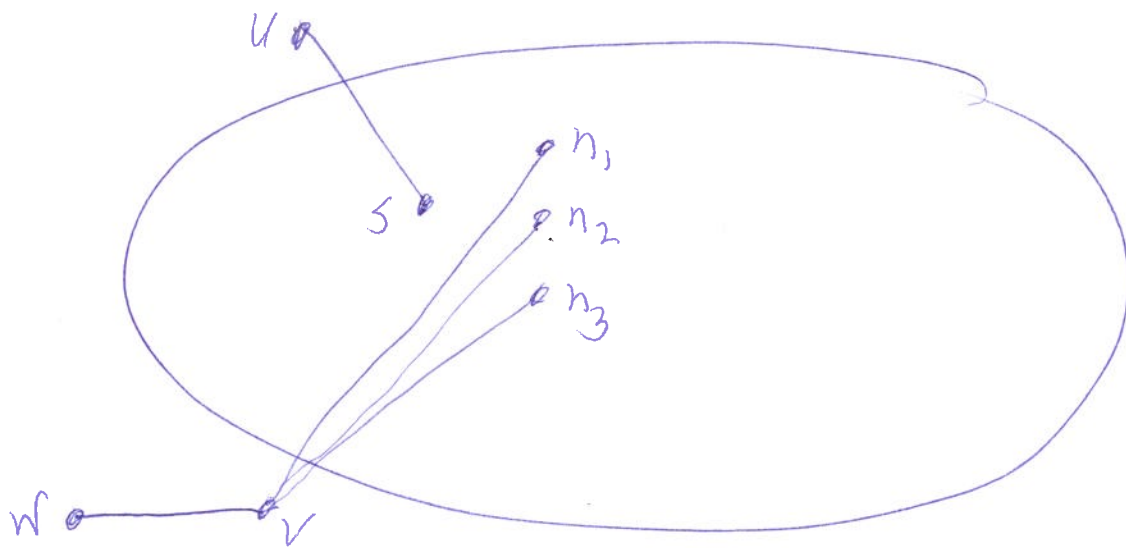
Prop:  $HC \leq_p HP$  [ $\because HP$  is NP-complete b/c  $HP \in NP$ ]

Proof: Given a graph  $G$ , choose an arbitrary vertex  $s \in G, V$  not isolated. (If all vertices are isolated, then return  $G$ )  
Starting with  $G$ :

- 1) Add a new vertex  $u$  and new vertices  $v_1, \dots, v_k$ , <sup>one</sup> for each neighbor of  $s$  ( $s$  has  $k$  neighbors) and a new vertex  $v$ .
- 2) ~~make~~ Add edge  $(s, u)$ . For each neighbor  $n_j$  of  $s$ , add edge  $(n_j, v)$ .
- 3) Add new vertex  $w$  and edge  $(v, w)$ .



4) Remove the edges from  $s$  to its neighbors in  $G$ . ⑥



Call this graph  $G'$ . Output  $G'$ .

WTS:  $G$  has a H.C. iff  $G'$  has a H.P.

( $\Rightarrow$ ) Assume  $G$  has a H.C.  $c$ .

$c$  visits  $s$  then one of  $s$ 's neighbors through some edge  $(s, n_j)$  (some  $j$ ) then remove this edge from  $c$ , and add ~~edges~~ edges  $(s, u)$ ,  $(n_j, r)$ , and  $(v, w)$ . This is a H.P. in  $G'$

( $\Leftarrow$ ) If  $G'$  has an H.P.  $p$ , then endpoints of  $p$  must be  $u$  and  $w$ , ~~Let~~ and go through some  $n_j$ . Replace  $(v, w)$ ,  $(v, n_j)$ ,  $(s, u)$  in  $p$  with  $(s, n_j)$  to get a H.C. in  $G$ .