

Note:

$P \subseteq NP$: $L \in P \Rightarrow$
 \exists prime D deciding L
 $\Rightarrow \exists$ verifier V verifying L
 [V runs D , ignoring the
 proof string] $\Rightarrow L \in NP$

Open: $P \stackrel{?}{=} NP$

Prop: If any NP-hard
 language is in P , then
 $P = NP$.

Proof: Let L be NP-hard
 and $L \in P$. For any $B \in NP$
 have $B \leq_p L$ (def of
 NP-hard)
 but $L \in P$, so
 $B \in P$ [P is closed under
 p-reducibility]

$\therefore NP \subseteq P$

$\therefore P = NP$ //

Def: 3-SAT is the decision
 problem:

Instance: A cnf formula ϕ
 with exactly 3 literals
 in each clause, (3e literal: appearing
 in same clause)
 Q: Is ϕ satisfiable?

$3\text{-SAT} \leq_p \text{CNF-SAT}$
 trivially by the identity
 function

3-SAT is a restriction of
 CNF-SAT (restricted instance,
 same question).

Prop: $\text{CNF-SAT} \leq_p 3\text{-SAT}$.

Proof: Given a cnf formula
 ϕ , we construct in ptime
 a 3-cnf formula ϕ' such
 that ϕ is satisfiable
 \iff
 ϕ' is satisfiable:

Let

$$\phi = \underbrace{C_1 \wedge \dots \wedge C_k}_{\text{clauses}}$$

Get ϕ' by replacing each
 clause C of ϕ by
 one or more clauses.

Case 1: C has ≥ 3 literals.
 Leave C unchanged.

Case 2: C has 2 literals.
 Say $C = l_1 \vee l_2$.

Let x be a fresh variable
 (occurs nowhere
 else).

Replace C by 2 clauses
 $(l_1 \vee l_2 \vee x) \wedge (l_1 \vee l_2 \vee \bar{x})$

Case 3: C has 1 literal;
 $C = l$

Let x, y be fresh boolean vars.

Replace C by
 $(l \vee x \vee y) \wedge (l \vee x \vee \bar{y}) \wedge (l \vee \bar{x} \vee y) \wedge (l \vee \bar{x} \vee \bar{y})$

An assignment making C true
 satisfies all replacement clauses
 (regardless of how x, y are set).

Conversely, any assignment
 satisfying all 4 replacement clauses
 must satisfy C .

Case 4: C has ≥ 4
 literals:

$$C = l_1 \vee l_2 \vee l_3 \vee l_4 \vee \dots$$

(*) Replace C by 2
 clauses: [x is a fresh var]

$$(l_1 \vee l_2 \vee x) \wedge (\bar{x} \vee l_3 \vee l_4 \vee \dots)$$

Satisfying both replacement
 clauses \iff satisfying C
 (by the same assignment restricted
 to the vars in C)

Repeat (*) on the 2nd
 clause until you have all
 clauses having 3 literals.
 End construction of ϕ'

Summary: From φ
(instance of CNF-SAT)
constructed φ' (instance
of 3-SAT) in ptime such
that φ satisfiable \iff φ' is satisfiable
 \uparrow
talked through

k-SAT (k literals per
clause)

k-SAT is NP-hard for all $k \geq 3$

BUT: 2-SAT $\in P$

[resolution method]